



PATENT
Attorney Docket No.: 36992.00073 (HAL 170)


THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on

Date: August 23, 2001

By:


Paul A. Durdik

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AUG 30 2001
Technology Center 2100

In re Application of:	
Kenji Yamagami	Examiner: Unknown
Serial No.: 09/823,470	Art Unit: 2182
Filed: March 30, 2001	
Title: PATH SELECTION METHODS FOR STORAGE BASED REMOTE COPY	

Commissioner for Patents
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT PURSUANT TO
37 C.F.R. §§1.97-1.98**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the reference listed on the attached Form PTO-1449. A copy of the cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

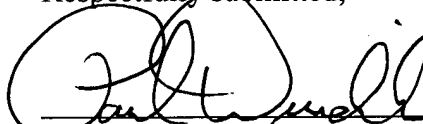
The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required..

However, if for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

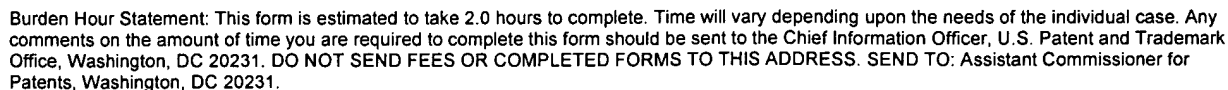
Date: August 23, 2001

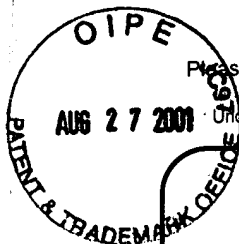
Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Paul A. Durdik', is written over a horizontal line.

Paul A. Durdik
Attorney for Applicants
Reg. No. 37,819





Please type a plus sign (+) inside this box → ☒

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/823,470
Filing Date	March 30, 2001
First Named Inventor	Kenji Yamagami
Group Art Unit	2182
Examiner Name	Unknown
Attorney Docket Number	36992.00073 (HAL 170)

Total Number of Pages in This Submission 56

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ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☐ Fee Attached

☒ Deposit Account Authorization
on Fee Transmittal Form

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☒ Return Postcard

☒ Information Disclosure Statement
(2 pages)

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

**Form 1449 (1 page)
3 References**

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Paul A. Durdik, Reg. No. 37,819
Squire, Sanders & Dempsey, L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043

Signature

Date

August 23, 2001

CERTIFICATE OF MAILING

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Typed or printed name

Paul A. Durdik

Signature

Date

August 23, 2001

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number: 09/823,470
Filing Date: March 30, 2001
First Named Inventor: Kenji Yamagami
Examiner Name: Unknown
Group / Art Unit: 2182
Attorney Docket No. 36992.00073 (HAL 170)

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AUG 30 2001

Technology Center 2100

TOTAL AMOUNT OF PAYMENT (\$) 0

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					3. ADDITIONAL FEES					
Deposit Account Number: 05-0150										
Deposit Account Name: Squire, Sanders & Dempsey, L.L.P.										
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17										
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27										
2. <input type="checkbox"/> Payment Enclosed:										
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other										
FEE CALCULATION										
1. BASIC FILING FEE										
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid					
101	710	201	355	Utility filing fee						
106	320	206	160	Design filing fee						
107	490	207	245	Plant filing fee						
108	710	208	355	Reissue filing fee						
114	150	214	75	Provisional filing fee						
SUBTOTAL (1)										
					(\$) 0					
2. EXTRA CLAIM FEES										
Total Claims: 0 = 0 X Fee from below = 0										
Independent Claims: 0 = 0 X Fee from below = 0										
Multiple Dependent: 0 = 0 X Fee from below = 0										
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid					
103	18	203	9	Claims in excess of 20						
102	80	202	40	Independent claims in excess of 3						
104	270	204	135	Multiple dependent claim, if not paid						
109	80	209	40	** Reissue independent claims over original patent						
110	18	210	9	** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2)										
					(\$) 0					
*or number previously paid, if greater; For Reissues, see above										
					3. ADDITIONAL FEES					
					Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
					105	130	205	65	Surcharge - late filing fee or oath	
					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
					139	130	139	130	Non-English specification	
					147	2,520	147	2,520	For filing a request for reexamination	
					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
					115	110	215	55	Extension for reply within first month	
					116	390	216	195	Extension for reply within second month	
					117	890	217	445	Extension for reply within third month	
					118	1,390	218	695	Extension for reply within fourth month	
					128	1,890	228	945	Extension for reply within fifth month	
					119	310	219	155	Notice of Appeal	
					120	310	220	155	Filing a brief in support of an appeal	
					121	270	221	135	Request for oral hearing	
					138	1,510	138	1,510	Petition to institute a public use proceeding	
					140	110	240	55	Petition to revive - unavoidable	
					141	1,240	241	620	Petition to revive - unintentional	
					142	1,240	242	620	Utility issue fee (or reissue)	
					143	440	243	220	Design issue fee	
					144	600	244	300	Plant issue fee	
					122	130	122	130	Petitions to the Commissioner	
					123	130	123	130	Petitions related to provisional applications	
					126	180	126	180	Submission of Information Disclosure Stmt	
					581	40	581	40	Recording each patent assignment per property (times number of properties)	
					146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
					149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
					179	710	279	355	Request for Continued Examination (RCE)	
					169	900	169	900	Request for expedited examination of a design application	
					Other fee (specify) _____					
					*Reduced by Basic Filing Fee Paid					
					SUBTOTAL (3)					
										(\$) 0

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Paul A. Durdik	Registration No. Attorney/Agent)	37,819	Telephone	650.856.6500
Signature				Date	August 23, 2001